

PATENT COOPERATION TREATY



PCT

NOTIFICATION CONCERNING
SUBMISSION OR TRANSMITTAL
OF PRIORITY DOCUMENT

(PCT Administrative Instructions, Section 411)

From the INTERNATIONAL BUREAU

To:

SUMIDA, Yoshihiro
3rd Fl., Bo-eki Bldg.
123-1, Higashi-machi
Chuo-ku
Kobe-shi, Hyogo 650-0031
Japan

Date of mailing (day/month/year) 13 May 2003 (13.05.03)	IMPORTANT NOTIFICATION
Applicant's or agent's file reference 02P400WO / KRE	
International application No. PCT/JP03/01285	
International publication date (day/month/year) Not yet published	
International filing date (day/month/year) 07 February 2003 (07.02.03)	Priority date (day/month/year) 08 February 2002 (08.02.02)
Applicant MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD. et al	

- The applicant is hereby notified of the date of receipt (except where the letters "NR" appear in the right-hand column) by the International Bureau of the priority document(s) relating to the earlier application(s) indicated below. Unless otherwise indicated by an asterisk appearing next to a date of receipt, or by the letters "NR", in the right-hand column, the priority document concerned was submitted or transmitted to the International Bureau in compliance with Rule 17.1(a) or (b).
- This updates and replaces any previously issued notification concerning submission or transmittal of priority documents.
- An asterisk(*) appearing next to a date of receipt, in the right-hand column, denotes a priority document submitted or transmitted to the International Bureau but not in compliance with Rule 17.1(a) or (b). In such a case, **the attention of the applicant is directed** to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.
- The letters "NR" appearing in the right-hand column denote a priority document which was not received by the International Bureau or which the applicant did not request the receiving Office to prepare and transmit to the International Bureau, as provided by Rule 17.1(a) or (b), respectively. In such a case, **the attention of the applicant is directed** to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.

<u>Priority date</u>	<u>Priority application No.</u>	<u>Country or regional Office or PCT receiving Office</u>	<u>Date of receipt of priority document</u>
08 Febr 2002 (08.02.02)	2002-32073	JP	09 May 2003 (09.05.03)

The International Bureau of WIPO
34, chemin des Colombettes
1211 Geneva 20, Switzerland

Facsimile No. (41-22) 338.70.10

Authorized officer

David GEVAUX (Fax 338 7010)

Telephone No. (41-22) 338 8778

From the INTERNATIONAL BUREAU

PCT**NOTICE INFORMING THE APPLICANT OF THE
COMMUNICATION OF THE INTERNATIONAL
APPLICATION TO THE DESIGNATED OFFICES**

(PCT Rule 47.1(c), first sentence)

To:

SUMIDA, Yoshihiro
3rd Fl., Bo-eki Bldg.
123-1, Higashi-machi
Chuo-ku
Kobe-shi, Hyogo 650-0031
JAPONDate of mailing(day/month/year)
14 August 2003 (14.08.03)Applicant's or agent's file reference
02P400WO**IMPORTANT NOTICE**International application No.
PCT/JP03/01285International filing date(day/month/year)
07 February 2003 (07.02.03)Priority date(day/month/year)
08 February 2002 (08.02.02)

Applicant

MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD.

1. Notice is hereby given that the International Bureau has **communicated**, as provided in Article 20, the international application to the following designated Offices on the date indicated above as the date of mailing of this notice:

None

In accordance with Rule 47.1(c), third sentence, those Offices will accept the present notice as conclusive evidence that the communication of the international application has duly taken place on the date of mailing indicated above and no copy of the international application is required to be furnished by the applicant to the designated Office(s).

2. The following designated Offices have waived the requirement for such a communication at this time:

None

The communication will be made to those Offices only upon their request. Furthermore, those Offices do not require the applicant to furnish a copy of the international application (Rule 49.1(a-bis)).

3. Enclosed with this notice is a copy of the international application as published by the International Bureau on 14 August 2003 (14.08.03) under No. 03/067724

4. **TIME LIMITS for filing a demand for international preliminary examination and for entry into the national phase**

The applicable time limit for entering the national phase will, **subject to what is said in the following paragraph**, be **30 MONTHS** from the priority date, not only in respect of any elected Office if a demand for international preliminary examination is filed before the expiration of **19 months** from the priority date; but also in respect of any designated Office, in the absence of filing of such demand, where Article 22(1) as modified with effect from 1 April 2002 applies in respect of that designated Office. For further details, see *PCT Gazette* No. 44/2001 of 1 November 2001, pages 19926, 19932 and 19934, as well as the *PCT Newsletter*, October and November 2001 and February 2002 issues.

In practice, **time limits other than the 30-month time limit** will continue to apply, for various periods of time, in respect of certain designated or elected Offices. For **regular updates on the applicable time limits** (20, 21, 30 or 31 months, or other time limit), Office by Office, refer to the *PCT Gazette*, the *PCT Newsletter* and the *PCT Applicant's Guide*, Volume II, National Chapters, all available from WIPO's Internet site, at <http://www.wipo.int/pct/en/index.html>.

For filing a **demand for international preliminary examination**, see the *PCT Applicant's Guide*, Volume I/A, Chapter IX. Only an applicant who is a national or resident of a PCT Contracting State which is bound by Chapter II has the right to file a demand for international preliminary examination (at present, all PCT Contracting States are bound by Chapter II).

It is the applicant's **sole responsibility** to monitor all these time limits.

The International Bureau of WIPO
34, chemin des Colombettes
1211 Geneva 20, Switzerland

Authorized officer

Judith Zahra

Facsimile No.(41-22) 740.14.35

Telephone No.(41-22) 338.91.11

From the INTERNATIONAL BUREAU

PCTINFORMATION CONCERNING ELECTED
OFFICES NOTIFIED OF THEIR ELECTION

(PCT Rule 61.3)

To:

SUMIDA, Yoshihiro
3rd Fl., Bo-eki Bldg.
123-1, Higashi-machi
Chuo-ku
Kobe-shi, Hyogo 650-0031
JAPON

Date of mailing(<i>day/month/year</i>) 14 August 2003 (14.08.03)		
Applicant's or agent's file reference 02P400WO		IMPORTANT INFORMATION
International application No. PCT/JP03/01285	International filing date(<i>day/month/year</i>) 07 February 2003 (07.02.03)	
Priority date(<i>day/month/year</i>) 08 February 2002 (08.02.02)		
Applicant MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD.		

- The applicant is hereby informed that the International Bureau has, according to Article 31(7), notified each of the following Offices of its election:
EP: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, SE, SI, SK, TR
National: AU, BG, CA, CN, DE, GB, IL, JP, KP, KR, MN, NO, PL, RO, RU, SK, US
- The following Offices have waived the requirement for the notification of their election; the notification will be sent to them by the International Bureau only upon their request:
AP: GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW
EA: AM, AZ, BY, KG, KZ, MD, RU, TJ, TM
OA: BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG
National: AE, AG, AL, AM, AT, AZ, BA, BB, BR, BY, BZ, CH, CO, CR, CU, CZ, DK, DM, DZ, EC, EE, ES, FI, GD, GE, GH, GM, HR, HU, ID, IN, IS, KE, KG, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MW, MX, MZ, NZ, OM, PH, PT, SC, SD, SE, SG, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW
- The applicant is reminded that he must enter the "national phase" **before the expiration of 30 months from the priority date before each of the Offices listed above.** This must be done by paying the national fee(s) and furnishing, if prescribed, a translation of the international application (Article 39(1) (a)), as well as, where applicable, by furnishing a translation of any annexes of the international preliminary examination report (Article 36(3) (b) and Rule 74.1).

Some offices have fixed time limits expiring later than the above-mentioned time limit. For detailed information about the applicable time limits and acts to be performed upon entry into the national phase before a particular Office, see Volume II of the PCT Applicant's Guide.

The entry into European regional phase is postponed **until 31 months from the priority date** for all States designated for the purposes of obtaining a European patent.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer Judith Zahra
Facsimile No.(41-22) 740.14.35	Telephone No.(41-22) 338.91.11

PATENT COOPERATION TREATY

PCT

From the INTERNATIONAL BUREAU

NOTIFICATION OF RECEIPT OF
RECORD COPY

(PCT Rule 24.2(a))



To:

SUMIDA, Yoshihiro
3rd Fl., Bo-eki Bldg.
123-1, Higashi-machi
Chuo-ku
Kobe-shi, Hyogo 650-0031
Japan

Date of mailing (day/month/year) 19 March 2003 (19.03.03)	IMPORTANT NOTIFICATION
Applicant's or agent's file reference 02P400WO / KRE	International application No. PCT/JP03/01285

The applicant is hereby notified that the International Bureau has received the record copy of the international application as detailed below.

Name(s) of the applicant(s) and State(s) for which they are applicants:

MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD. (for all designated States except US)
OTSUKA, Nobuyuki et al (for US)

International filing date : 07 February 2003 (07.02.03)

Priority date(s) claimed : 08 February 2002 (08.02.02)

Date of receipt of the record copy
by the International Bureau : 28 February 2003 (28.02.03)

List of designated Offices :

AP : GH,GM,KE,LS,MW,MZ,SD,SL,SZ,TZ,UG,ZM,ZW

EA : AM,AZ,BY,KG,KZ,MD,RU,TJ,TM

EP : AT,BE,BG,CH,CY,CZ,DE,DK,EE,ES,FI,FR,GB,GR,HU,IE,IT,LU,MC,NL,PT,SE,SI,SK,TR

OA : BF,BJ,CF,CG,CI,CM,GA,GN,GQ,GW,ML,MR,NE,SN,TD,TG

National : AE,AG,AL,AM,AT,AU,AZ,BA,BB,BG,BR,BY,BZ,CA,CH,CN,CO,CR,CU,CZ,DE,DK,DM,DZ,

EC,EE,ES,FI,GB,GD,GE,GH,GM,HR,HU,ID,IL,IN,IS,JP,KE,KG,KP,KR,KZ,LC,LK,LR,LS,LT,LU,

LV,MA,MD,MG,MK,MN,MW,MX,MZ,NO,NZ,OM,PH,PL,PT,RO,RU,SC,SD,SE,SG,SK,SL,TJ,TM,TN,TR,

TT,TZ,UA,UG,US,UZ,VC,VN,YU,ZA,ZM,ZW

ATTENTION

The applicant should carefully check the data appearing in this Notification. In case of any discrepancy between these data and the indications in the international application, the applicant should immediately inform the International Bureau.

In addition, the applicant's attention is drawn to the information contained in the Annex, relating to:

☒ time limits for entry into the national phase - see updated important information (as of April 2002)

☐ confirmation of precautionary designations (if applicable)

☒ requirements regarding priority documents (if applicable)

A copy of this Notification is being sent to the receiving Office and to the International Searching Authority.

The International Bureau of WIPO 34, chemin des C. lombettes 1211 Geneva 20, Switzerland Facsimile No. (41-22) 338.70.10	Authorized officer: Hatsune OKUBO-WEND (Fax 338 7010) Telephone No. (41-22) 338 9999
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INFORMATION ON TIME LIMITS FOR ENTERING THE NATIONAL PHASE

The applicant is reminded that the "national phase" must be entered before each of the designated Offices indicated on the cover sheet of this Notification by paying national fees and furnishing translations, as prescribed by Articles 22 and 39 and the applicable national laws. In addition, the applicant may also have to comply with other special requirements applicable in certain Offices. It is the applicant's responsibility to ensure the necessary steps to enter the national phase are taken in a timely fashion. Most Offices do not issue reminders to applicants in connection with the entry into the national phase.

The applicable time limit for entering the national phase will, subject to what is said in the following paragraph, be **30 MONTHS** from the priority date, not only in respect of any elected Office where a demand for international preliminary examination is filed before the expiration of 19 months from the priority date (see Article 39(1)), but also in respect of any designated Office, in the absence of filing of such demand, where Article 22(1) as modified with effect from 1 April 2002 applies in respect of that designated Office. For further details, see PCT Gazette No. 44/2001 of 1 November 2001, pages 19926, 19932 and 19934, as well as the PCT Newsletter, October and November 2001 and February 2002 issues.

In practice, time limits other than the 30-month time limit will continue to apply, for various periods of time, in respect of certain designated or elected Offices. For regular updates on the applicable time limits (20, 21, 30 or 31 months, or other time limit), Office by Office, refer to the PCT Gazette ("Section IV" part published on a weekly basis), to the PCT Newsletter (on a monthly basis) and to the relevant National Chapters in Volume II of the PCT Applicant's Guide (the paper version of which is updated usually twice a year and the Internet version of which is updated usually on a weekly basis). Finally, a cumulative table of all applicable time limits for entering the national phase is available from WIPO's Internet site, via links from various pages the site including those of the Gazette, Newsletter and Guide, at <http://www.wipo.int/pct/en/index.html>.

Information about the requirements for filing a demand for international preliminary examination is set out in the PCT Applicant's Guide, Volume I/A, Chapter IX. Note that only an applicant who is a national or resident of a PCT Contracting State which is bound by Chapter II has the right to file a demand for international preliminary examination (at present, all PCT Contracting States are bound by Chapter II).

CONFIRMATION OF PRECAUTIONARY DESIGNATIONS

This notification lists only specific designations made under Rule 4.9(a) in the request. It is important to check that these designations are correct. Errors in designations can be corrected where precautionary designations have been made under Rule 4.9(b). The applicant is hereby reminded that any precautionary designations may be confirmed according to Rule 4.9(c) before the expiration of 15 months from the priority date (this time limit may not be extended). If it is not confirmed, it will automatically be regarded as withdrawn by the applicant. There will be no reminder and no invitation. Confirmation of a designation consists of the filing of a notice specifying the designated State concerned (with indication of the kind of protection or treatment desired) and the payment of the designation and confirmation fees. The Notice of confirmation and payment must reach the receiving Office within the 15-month time limit.

REQUIREMENTS REGARDING PRIORITY DOCUMENTS

For applicants who have not yet complied with the requirements regarding priority documents, the following is recalled.

Where the priority of an earlier national, regional or international application is claimed, the applicant must submit a copy of the said earlier application, certified by the authority with which it was filed ("the priority document") to the receiving Office (which will transmit it to the International Bureau) or directly to the International Bureau, before the expiration of 16 months from the priority date, provided that any such priority document may still be submitted to the International Bureau before that date of international publication of the international application, in which case that document will be considered to have been received by the International Bureau on the last day of the 16-month time limit (Rule 17.1(a)).

Where the priority document is issued by the receiving Office, the applicant may, instead of submitting the priority document, request the receiving Office to prepare and transmit the priority document to the International Bureau. Such request must be made before the expiration of the 16-month time limit and may be subjected by the receiving Office to the payment of a fee (Rule 17.1(b)).

If the priority document concerned is not submitted to the International Bureau or if the request to the receiving Office to prepare and transmit the priority document has not been made (and the corresponding fee, if any, paid) within the applicable time limit indicated under the preceding paragraphs, any designated State may disregard the priority claim, provided that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within the time limit which is reasonable under the circumstances.

Where several priorities are claimed, the priority date to be considered for the purposes of computing the 16-month time limit is the filing date of the earliest application whose priority is claimed.

(19) 世界知的所有権機関
国際事務局



(43) 国際公開日
2003 年 8 月 14 日 (14.08.2003)

PCT

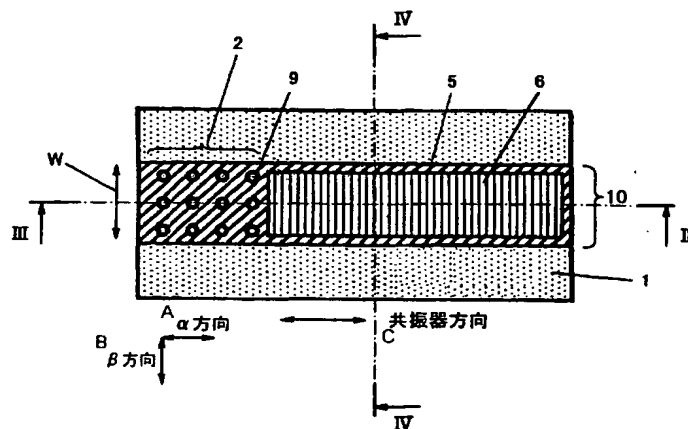
(10) 国際公開番号
WO 03/067724 A1

- (51) 国際特許分類⁷: H01S 5/10
(21) 国際出願番号: PCT/JP03/01285
(22) 国際出願日: 2003 年 2 月 7 日 (07.02.2003)
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特願2002-32073 2002 年 2 月 8 日 (08.02.2002) JP
(71) 出願人 (米国を除く全ての指定国について): 松下電
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TRIAL CO., LTD.) [JP/JP]; 〒571-8501 大阪府 門真市
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(54) 代理人: 角田 嘉宏, 外 (SUMIDA, Yoshihiro et al.); 〒
650-0031 兵庫県 神戸市 中央区 東町 123 番地の 1 貿易
ビル 3 階 Hyogo (JP).
(81) 指定国 (国内): AE, AG, AL, AM, AT, AU, AZ, BA, BB,
BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK,
DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU,
ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS,
LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO,
NZ, OM, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL,
TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU,
ZA, ZM, ZW.
(84) 指定国 (広域): ARIPO 特許 (GH, GM, KE, LS, MW, MZ,
SD, SL, SZ, TZ, UG, ZM, ZW), ユーラシア特許 (AM,
AZ, BY, KG, KZ, MD, RU, TJ, TM), ヨーロッパ特許

[続葉有]

(54) Title: SEMICONDUCTOR LIGHT-EMITTING DEVICE AND ITS MANUFACTURING METHOD

(54) 発明の名称: 半導体発光素子およびその製造方法



A...α DIRECTION
B...β DIRECTION
C...RESONATOR DIRECTION

(57) Abstract: A semiconductor light-emitting device having a stripe structure (10) comprising an n-type InP substrate (1), an n-type InP lower clad layer (3) formed in a stripe pattern on the n-type InP lower clad layer (1), an active layer (4) having a resonator disposed parallel to the n-type InP substrate (1), and a p-type InP upper clad layer (5). The stripe structure (10) has a photonic crystal structure (2) in which recesses (9) are arrayed in a rectangular lattice. The direction of the array of the recesses (9) of the photonic crystal structure (2) is the same as the direction of the resonator. A stripe-like upper electrode (6) extending in the resonator direction is formed on the stripe structure (10). The thus structured semiconductor light-emitting device emits light perpendicularly to the n-type InP substrate (1).

[続葉有]



(AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, SE, SI, SK, TR), OAPI
特許 (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

2文字コード及び他の略語については、定期発行される各PCTガゼットの巻頭に掲載されている「コードと略語のガイダンスノート」を参照。

添付公開書類:
— 国際調査報告書

(57) 要約:

本発明の半導体発光素子は、 n 型InP基板(1)と、この n 型InP基板(1)上にストライプ状に形成された、 n 型InP下部クラッド層(3)、前記 n 型InP基板(1)に対して平行な方向の共振器を有している活性層(4)、および p 型InP上部クラッド層(5)を含むストライプ構造(10)とを備えている。このストライプ構造(10)は、凹部9が四角格子状に配列されたフォトリック結晶構造(2)を有しており、フォトリック結晶構造(2)の凹部(9)の配列方向と共振器方向とが同一となっている。また、共振器方向に延びるストライプ状の上部電極(6)がストライプ構造(10)上に形成されている。このように構成された本発明の半導体発光素子は、 n 型InP基板(1)に対して垂直な方向に光を放射する。